

**DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS**

☒ Original      ☐ Supplemental      ☐ Substitute      ☒ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SURFACE TREATMENT**

which is described and claimed in:

- ☐ the attached specification.
- ☐ the specification in U.S. Application No. \_\_\_\_\_  
filed \_\_\_\_\_, and as amended on \_\_\_\_\_ (if applicable).  
(day/month/year) (day/month/year)
- ☒ the specification in International Application No. **PCT/GB 96/00093**  
filed **18.01.96**  
(day/month/year)  
assigned U.S. Application No. \_\_\_\_\_ (if applicable), and as amended  
☐ under PCT Article 19 on \_\_\_\_\_ (if applicable)  
(day/month/year)  
☒ under PCT Article 34 on **20/11/96** (if applicable)  
(day/month/year)  
☐ and further amended on \_\_\_\_\_ (if applicable)  
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
Great Britain	9501287.8	24.01.95	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION No.	FILING DATE (day/month/year)	STATUS		
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

PCT APPLICATION No. (designating the U.S.)	INTERNATIONAL FILING DATE (day/month/year)	U.S. APPLICATION No. (if any)	STATUS
			<input type="checkbox"/> Patented
			<input type="checkbox"/> Pending
			<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Luther A. R. Hall (Reg. No. 27,337), JoAnn L. Villamizar (Reg. No. 30,598), Kevin T. Mansfield (Reg. No. 31,635), David R. Crichton (Reg. No. 37,300), Michele A. Kovaleski (Reg. No. 37,865) and Victoria M. Malia (Reg. No. 39,359).

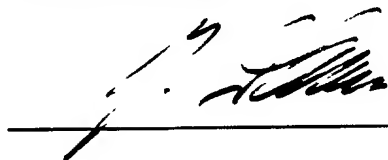
Address all correspondence to JoAnn L. Villamizar, Patent Department, Ciba Specialty Chemicals Corporation, 540 White Plains Road, Tarrytown, NY 10591.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole  
or first joint inventor

**Jochem SAUER**

Inventor's signature



Date

29/04/1997  
(day/month/year)

Residence

**Zürcherstrasse 8  
4310 Rheinfelden  
Switzerland**

Citizenship

**German**

Post Office Address

**same as above**

ASSIGNMENT

WHEREAS, **Ciba Specialty Chemicals Corporation**, a corporation organized and existing under the laws of the State of Delaware, having offices at 560 White Plains Road, Tarrytown, New York (hereinafter "Assignor") is the owner of all its right, title and interest in and to the United States patent applications listed on the attached and made a part hereof; and

WHEREAS, **Vantico Inc.** a Delaware Corporation, having offices at 281 Fields Lane, Brewster, N.Y. 10509 (hereinafter "Assignee") desires to acquire said interest of Assignor in said inventions and patent applications and Ciba Specialty Chemicals Corporation is willing to assign its interest therein to **Vantico Inc.**:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this

28<sup>th</sup> day of July, 2000.

Ciba Specialty Chemicals Corporation

By:.....

Name: Stanley Sherman

Title: President and CEO

## Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
EM	K7-19264/A	08/115,530	9/2/93
EM	KK-19600/A	08/268,094	6/28/94
FS	KK-19805/A	08/358,134	12/16/94
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CS	KK-20617/A/CONT/CPA 2	09/016,619	1/30/98
CS	KK-21226/A/CPA	09/019,636	2/6/98
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CS	KK-21346/A	09/075,639	5/11/98
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AT	KK-20969/A/21022/MA 2136/PCT	09/230,172	7/14/97
CS	KK-20922/A/PCT	09/230,395	6/21/97
SC	KK-20976/A/PCT	09/230,410	7/16/97
CS	KK-21501/A	09/248,649	2/11/99

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CS	KK-20616/A/CIP	09/298,572	4/23/99
CS	KK-21387/CIP	09/298,573	4/26/99
EM	K7-21561/A	09/303,584	5/3/99
HA	KK-21566/P1/CGC 1992	09/327,859	6/8/99
CS	KK-21585/P1/CGC 1993	09/334,085	6/16/99
AT	KK-21820/P1/CGC 1996	09/334,086	6/16/99
FS	KK-20930/A/DIV	09/376,797	8/18/99
EM	KK-22016/AO/NZ 12/PCT	09/380,449	3/7/97
FS	KK-20658/A/DIV	09/390,122	9/3/99
AT	KK-21854/A/MA 2170	09/418,609	10/15/99
CS	KK-21852/A	09/421,166	10/19/99
CS	KK-20045/A/CONT/CPA 2/DIV	09/456,961	12/7/99
EM	KK-21375/A/DIV	09/465,866	12/16/99
AT	KK-18945/A/CONT/DIV	09/483,057	1/13/00
AT	KK-21490/A/MA 2163/CIP	09/550,718	4/17/00
CS	KK-21805/A/WIT 30	09/554,811	5/19/00
EM	KK-20664/A/CGJ 91/PCT/CONT	09/567,348	5/9/00
CS	KK-21254/A/CONT/CIP	09/569,560	5/12/00

For 1346004

ASSIGNMENT

I / We Jochem SAUER of 4310 Rheinfelden, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**SURFACE TREATMENT**

invented by me/us and described in the international application

No. PCT/GB 96/00093 filed January 18, 1996

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.

Signed on April 29, 1997

  
Jochem SAUER

<b>REVOCATION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	Application Number	See attached schedule
	Filing Date	See attached schedule
	First Named Inventor	
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	See attached schedule

I hereby revoke all previous powers of attorney or authorizations of agent given in the above-identified application and hereby appoint the practitioners at Customer Number 22249 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

☒ A Power of Attorney or Authorization of Agent is submitted herewith.

OR

☒ Please change the correspondence address for the above-identified application to:

☒ Customer Number 22249

Place Customer  
Number Bar Code  
Label here

OR

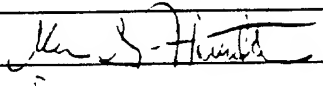
<input type="checkbox"/> Firm or Individual Name	Kristin H. Neuman, Esq.				
Address	LYON & LYON, LLP				
Address	Suite 4700				
City	633 West Fifth Street				
Country	USA	State	CA	ZIP	90071
Telephone	(914) 681-8851	Fax	(914) 686-4488		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

### SIGNATURE of Applicant or Assignee of Record

Name	Alan G. Himmelstein - on behalf of Vantico Inc.
Signature	
Date	Feb 22, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231



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